MODIFIED PTO/SB/08 (08-00)

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Substitute for form 1449B/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application Number	Unaşşiğiled 2 2002	
STATEMENT BY APPLICANT	Filing Date	AUG 2 2 2003	
Date Submitted: ALIC 9 9 2003	First Named Inventor	Hisatsugu KURITA	
Date Submitted: AUG 2 2 2003	Group Art Unit	Unsasigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 1 of 1	Attorney Docket Number	047297-0137	

U.S. PATENT DOCUMENTS							
Examiner Initials*		U.S. Patent	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)				

				F	OREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. <sup>1</sup>	Office	Foreign Patent De <sup>3</sup> Number <sup>4</sup>	Occument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	тв
	A1	JP	10-340876	Α	SHIBAURA ENG WORKS CO., LTD.	12-22-1998		1

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>®</sup>			
	A2	S. VERHAVERBEKE et al., "THE EFFECT OF H₂ ANNEALING ON THE SI SURFACE AND ITS USE IN THE STUDY OF ROUGHENING DURING WET CHEMICAL CLEANING", Semiconductor Silicon, 1994, pages 1170-1181, vol. 94-no. 10, The Electrochemical Society, Inc.				
	A3	Y. YANASE et al., "AFM OBSERVATION OF Si(100) SURFACE AFTER HYDROGEN ANNEALING AND WET CHEMICAL PROCESSING", Electrochemical Society 184 <sup>th</sup> Meeting, Third international symposium on Cleaning Technology in Semiconductor Device Manufacturing, Oct. 10-15, 1993, 8 pages				

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hisatsugu KURITA et al.

Title:

SILICON WAFER CLEANING METHOD

Appl. No.:

Unassigned

Filing Date:

AUG 2 2 2003

Examiner:

Unassigned

Art Unit:

Unassigned

## UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## **RELEVANCE OF EACH DOCUMENT**

The relevance of the foreign-language document is described in the present specification. An English language abstract and a computer generated Translation is attached hereto.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Richard L. Schwaab

Attorney for Applicant Registration No. 25,479

Date:

AUG 2 2 2003

**FOLEY & LARDNER** 

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